

Oromia Forest and Wildlife Enterprise
Oromia REDD+ Coordination Unit
Benefit Sharing Mechanism Consultative Workshop

- 1. Date;** September 3, 2016
- 2. Place/venue;** Pyramid Paradise, Bishoftu
- 3. Objective of the meeting;** To get feedback and inputs on Oromia Forested Landscape Program Benefit Sharing Mechanism to address the equity issues of participating stakeholders
- 4. Meeting called by;** ORCU/OFWE
- 4. Attendees;** 25 technical experts from key stakeholder government institution, civil society, None Government Organization and OFWE headquarter and branches (see annex I, list of participants)
- 5. Agenda topics; (see annex II)**

Workshop Objective & Self introduction

Ato Tesfaye Gonfa has introduced the agenda of the workshop to participants drawn from different institution/organization.

He briefly illustrated the agenda and objective of the workshop and noted the major component of OFLP. OFLP is one of internationally recognized four FCPF programs. The program is operational in Zambia, Colombia, Indonesia and Ethiopia (Piloted in Oromia). OFLP will be implemented by USD 18 Million pledge grant from different countries and USD 50 Million ERPA. The USD 18 Million is a mobilization grant that is used to implement two major activities; Enabling Investment and Enabling Environment.



Figure 1 Ato Tesfaye Gonfa introducing agenda objective of the workshop

Finally, Ato Tesfaye requested participants to introduce themselves and invited Ato Getu Gemechu, in the rank of vice Bureau head, advisor of the President office for opening remarks.

Welcoming & Opening remark

He began his opening remarks by recognizing the role that his esteemed office has been played, in order to realize the Regional REDD+ Program. The program is not only a sector program it engages many sectors (Multi-sector program). The region has developed program Appraisal Document (PAD) with active participation of experts from different discipline and expertise in the area of the topic.

Thus, He appreciated the presence of these experts from different organization and expects important inputs which will enrich the Benefit Sharing Mechanism (BSM) document. The BSM has heightened contribution in improving the lives and livelihoods of the communities at grass roots level, forest dependent in particular. Finally he wishes all participants to have an interactive and valuable workshop event.

Presentation of OFLP Preliminary Benefit Sharing Mechanism Proposal by Motuma Tolera (PhD)

Dr. Motuma Tolera, is an Individual Consultant (IC) appointed by ORCU/OFWE to develop Benefit Sharing Mechanism for OFLP presented the aforementioned topic in detail. His presentation covers many issues begun from; introductory note about OFLP, which is a jurisdictional statewide program; generate carbon and non carbon benefits.

The objective of the BSM are; to define who the beneficiaries of OFLP (also identify their roles and responsibilities); to define criteria for share (vertical and horizontal); to identify disbursement mechanisms; to define nesting option and to formalize the BSM.

He illustrated and discussed major issues to be understood by participants. These issues includes not limited to; Guiding principles of Benefit sharing – National REDD+ strategy of Benefit sharing – OFLP (PAD).

➤ Initial proposals and suggestions from federal/regional government level discussions and consultation

Dr. Motuma has displayed what he had been presented to Federal/Regional government level higher officials and these issues include:

Experiences from existing BSM in Ethiopia

1. **Laws or regulations** stipulating the mechanisms for sharing of carbon benefits do not exist in Ethiopia – New development
2. **Good experiences** exist in other fields mainly related to PFM, CDM and wildlife/eco-tourism



Figure 2 Presentation, Dr Motuma Tolera

Experiences from existing BSM in Ethiopia				
Region	PFM		Trophy hunting	Carbon based (CDM)
	Wood based	Forest coffee based		
		Oromia	Oromia	Oromia
Sharing stakeholders	OFWE/Community	OFWE/Community.	EWCA/OFWE/Community	Community.
Benefit	Cash	Cash	Cash	Cash
Ratio applied	40 (OFWE): 60(Commun)	30 (OFWE):70 (Commun)	15% EWCA: 85% (40%OFWE & 60% Commun)	100% for commun
Criteria	Cost (input) based	negotiation	negotiation	No criteria

Bale REDD+ - 40 gov/60 comm - negotiation

Figure 3 experiences from existing BSM in Ethiopia, (presented slide)

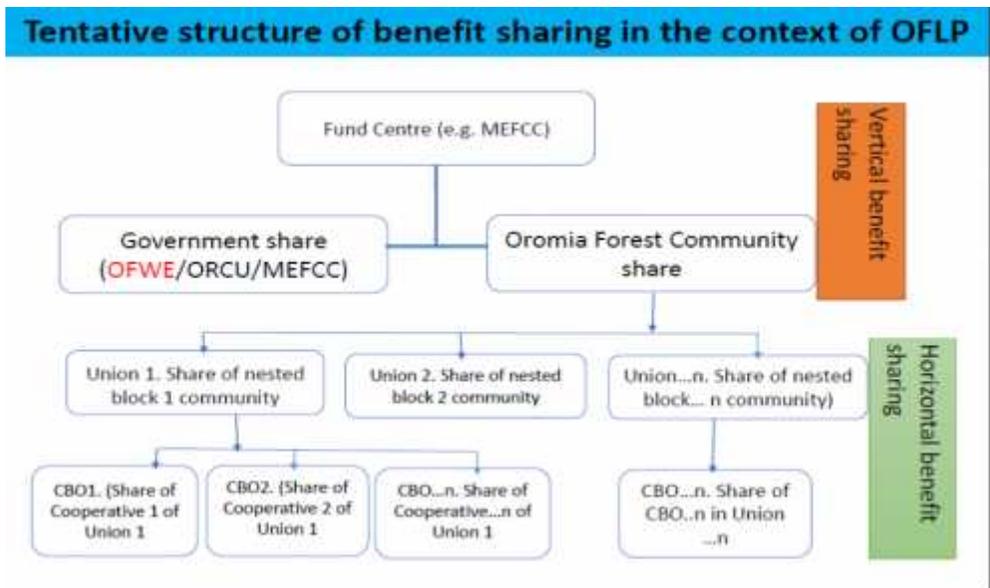


Figure 4 tentative structure of benefit sharing in the context of OFLP

Proposed vertical beneficiaries:

- **Forest communities and government are the two dominant players in forest management with constitutional and customary rights**
- **Two options:**
 - **Option 1.** 100% of the net benefit will trickle down to community - **pro-community scenario;**
 - **Option 2.** Government (federal and regional) claim share of the net benefit
 - **Shared responsibility;**
 - the amount of which will be determined during the consultations at various level;

Figure 5 proposed vertical beneficiaries (presented slide)

Options for benefit sharing for OFLP - Vertical

scenarios (option)	Advantage	Disadvantage
Scenario 1. All (100%) of the net benefit trickle down to the community & government claims no share.	Strong incentive for community as a result of more benefit trickling down to the community	<ul style="list-style-type: none"> •Government may be reluctant as there is no strong accountability associated with sharing of benefit; •Government support including in MRV will be inconsistent when budget constraint is encountered
Scenario 2. Government will claim some	Shared responsibility and accountability to make REDD+ work	Community may complain if the share of government will be disproportionate to its role.

Figure 6 options for benefit sharing for OFLP – vertical

Options for benefit sharing for OFLP - Horizontal

Scenarios (option)	Advantage	Disadvantage
Scenario a. Equal share: Share/block = ERP/number of nesting blocks	Simple and easy to handle system of BSM; Reduced cost for MRV and benefit management	<ul style="list-style-type: none"> •Does not separate between best performing and poorly performing groups; •May cause grievances by best performing CBOs/blocks •May not create a strong incentive for performance and also input to deliver more
Scenario b. Fair share: Share/block = (Nested block forest area/Total forest area in Oromia) * ERP.	<ul style="list-style-type: none"> •Rewards those communities with higher forest stewards •It is also simple to apply 	<ul style="list-style-type: none"> • Does not separate between best performing and poorly performing groups • May cause grievances by best performing CBOs/blocks • May not create a strong incentive for performance and also deliver more

Options for benefit sharing for OFLP - Horizontal

Scenarios (option)	Advantage	Disadvantage
<p>Scenario c. Performance based at nested block level</p> <p>Share/block = total net payment* $ER_{\text{nested block}}/ER_{\text{Oromia}}$</p>	<p>Incentivise those who deliver results regardless of the extent of cost incurred and other additional factors; hence is objective and relevant to REDD+ program;</p>	<ul style="list-style-type: none"> • Costly as MRV is a must; • It disregards forest friendly cultures and traditions that protect forest; hence low past deforestation rate; conversely rewarding “forest non-friendly” behaviours

Options for benefit sharing for OFLP - Horizontal

Scenarios (option)	Advantage	Disadvantage
<p>Scenario e. Opportunity cost based</p> <p>Share/block = factor of opportunity cost/block * total community share</p>	<ul style="list-style-type: none"> • Compensates communities that incur more opportunities cost by reverting to conservation; • This appears relevant in Oromia as the socio-economic characteristics of communities in the region vary considerably. <p>For instance, the opportunity cost of conserving a hectare of land in coffee growing may not be equivalent with areas that are cereal based.</p>	<p>Establishing opportunity cost per nesting block might be complex;</p>

Figure 7 options for benefit sharing for OFLP- horizontal (presented slide)

Ways ahead – Stakeholders consultation

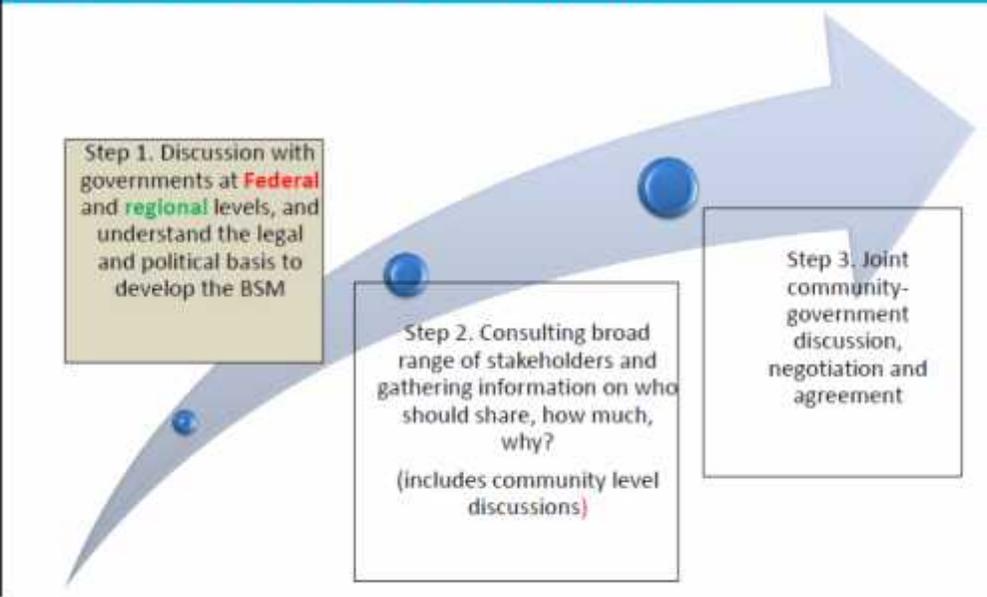


Figure 8 ways ahead – stakeholders consultation (presented slide)

- **Vertical share**

Government	Community
30	70
20	80
15	85

Fed	Reg	comm
0	20	80
5	15	80
20	18	80

Figure 9 suggestions – roundtable at Federal and Regional Level

- **Horizontal share (Federal and regional same proposal)**

Criteria	Weight
Performance (Size of ER)	60%
Forest area (positive incentive)	25%
Opportunity cost (economic/land use oppo)	15%

Use of the carbon revenue at community level:

Community should decide but should address drivers of D & D;
 Focus on livelihood improvement of farm households through CBOs

Figure 10 suggestions – roundtable at Federal and Regional

Nesting of OFLP: a provisional proposal

Nesting is defined here as **sub-dividing Oromia** into management landscapes or **nesting blocks** that will be governed with the **same MRV system**

Three nesting options are proposed here;

1. vegetation (Biome) based division
2. administrative boundary such as zonal boundary
3. OFWE branch offices distribution based divisions

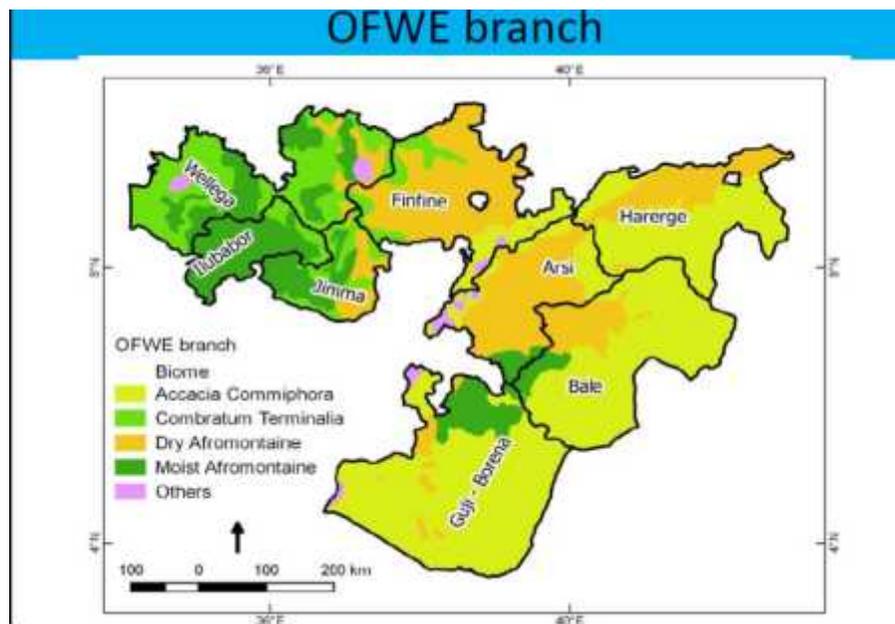


Figure 11 nesting option I

Zone administration

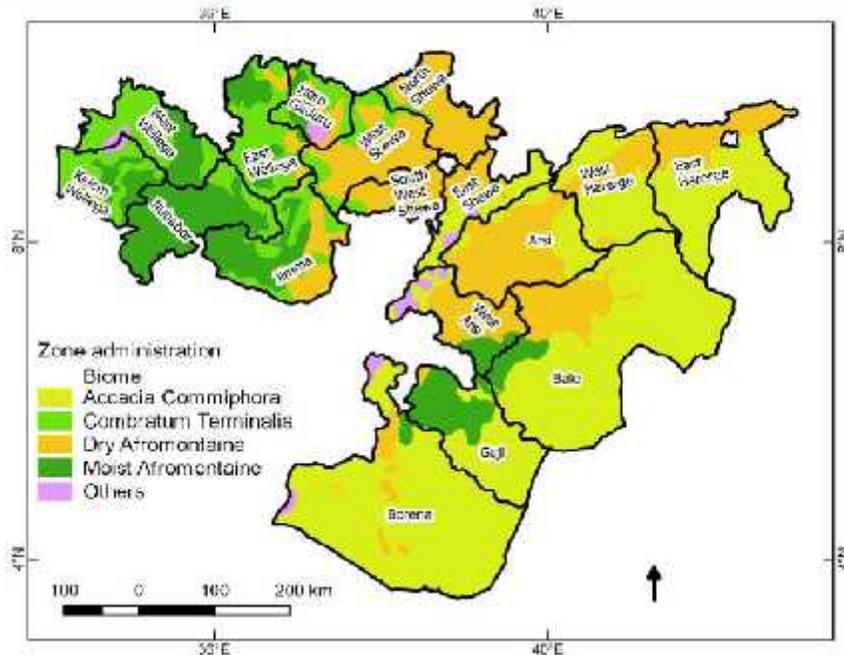


Figure 12 nesting option II

Discussion

After the presentation of Dr. Motuma, the floor was let for discussion and this discussion was chaired by Ato Ararsa Regassa, Deputy General Director of OFWE for Technical and Dr. Motuma Toler, IC. The chairman has requested participants to raise questions, comments and suggestion on the presented topic and OFLP also. Points rose as comments, question and suggestion were:

- Mapping of key beneficiaries are not well structured, if it is revised and re-structured
- Concerning the net sharing, what activities to be done by horizontal and vertical parties?
- What does the different actors will be benefited from each nesting block?
- The amount of operational cost to be deducted from gross ERPA should be transparently indicated
- As we have discussed, nesting is the projects which had been implementing REDD+ interventions (for instance Bale REDD+) so that how these projects would could be treated?
- How the benefit sharing would be treated?
- Opportunity cost is related with ownership hence the forest is owned by state. So that how can we consider opportunity cost?

- In the presentation, three nesting options was presented, is there other nesting option?
- Community livelihoods improvement through BSM is crucial, did the communities consulted?
- From the experiences of Humbo, what does the government share?
- When we say community, does it include the whole community?
- Does the privet sectors involved in BSM/not?
- Does the horizontal share mainly for community?
- In the Constitution of the FDRE, the Region has the right to administer their natural resource. Why the issue of benefit sharing from carbon treated separately hence OFWE sale timber but didn't considered in sharing?

Response

The first respondent for the raised comments, suggestion and questions was Dr. Motuma. He gave his appreciate for the comments, suggestion and questions hence all of the will be used as an input and constructive noble ideas to enrich the BSM consultation work. All the comments and suggestion provided by participants would be used as input, no argument from the IC side. The following responses were for questions which require more clarification. Accordingly,

- Issues related to benefit sharing mechanism the benefit will mainly address those forest dependents communities
 - Though the ownership of the forest is state, in global REDD+ program/project opportunity cost is a criteria as customary right
 - Community consultation hasn't been conducted yet. However, extensive community consultation is planned
 - In horizontal benefit sharing, the government structure at different level is already incorporated in the vertical share. So that the horizontal share is mainly for forest dependent communities
 - Many experiences, related to BSM were referred in order to draft the BSM for OFLP
- Ato Tesfaye Gonfa's reaction on some of questions;
- REDD+ initiatives which had been implementing different REDD+ activities, for instance Bale REDD+ project (by FARM Africa) and Sale Noono Project (Ethio-Wet Land & natural Resource Association) could claim for their emission reduction before OFLP. However, since the commencement of OFLP, their emission reduction will be accounted in OFLP.

- The principle of the Bank (World Bank), state that community has customary right to use the forest. So that the Opportunity cost should be set as a criteria
- Yes, it is agreed that that the amount of operational cost to be deducted from gross ERPA should be transparently indicated
- Carbon trade requires international negotiation than other forest products, so that the Federal Government is incorporated in to the benefit sharing arrangement

Group discussion and Presentation

Three groups were formed in order to discuss on three points of discussion; the discussion points were;

1. Stakeholder analysis: eligible stakeholders for benefit sharing

Eligible stakeholders* (Current and future)	Roles and responsibilities in the ER activities	Rank

Vertical share

2. Criteria to apply in sharing benefit:
Horizontally

Criteria	Strength	Weakness	weight	Remark

3. Structure and mechanism for benefit disbursement

- How best can the benefit flow to the community level?
- What should the benefit be used for?

- Nesting option: how best Oromia can be divided to effectively implement, monitor report and verify ER from OFLP?



Figure 13 Group discussion

Group Presentation

1. Eligible stakeholders for benefit sharing (by the three group)

All the groups have similarly discussed eligible stakeholders, vertically;

- Government (from the Federal down to Kebele level)
- Organized CBOs in PFM
- Non- PFM communities (community organized in community forest, area closure)
- Private Forest developer (investor invest in forest development)
- CSO (Civil Society Organization)
- Enterprises

2. Criteria to share benefit, horizontal and proposal by group

Table 1 group proposed benefit sharing criteria

No.	Criteria	Group I	Group II	Group III	Remarks
		Weight	Weight	Weight	
1	Performance	60	50	60	
2	Forest Area	25	30	30	
3	Opportunity cost	15	20	10	

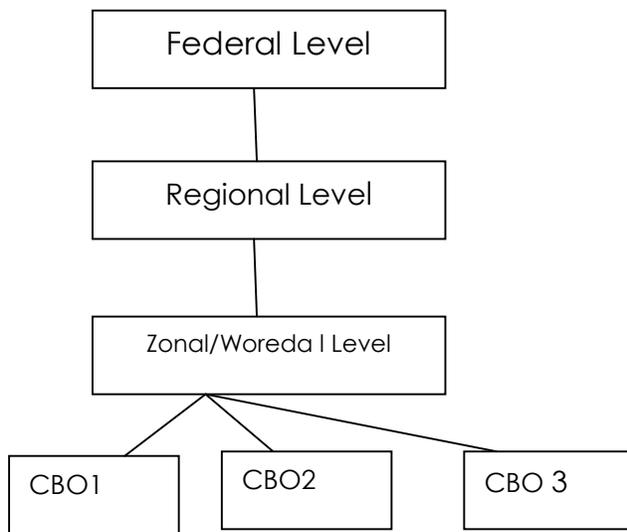
All the groups have discussed in each of the criteria against their strength, weakness and weight. Though there were a variation in assigning weight for each criteria by the groups their reason out were almost alike.

3. Structure and mechanism for benefit disbursement

3.1. Benefit flow to the community

Two groups were presented if the benefit flow to the community would be in existing government structure. While a group proposed other flow arrangements as per the following figure 4.

Proposed flow by two groups



proposed flow by one group

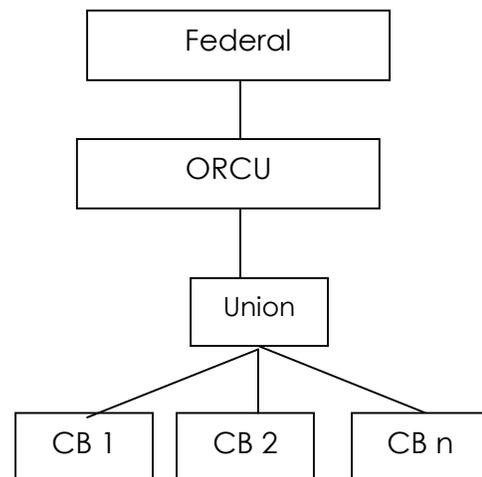


Figure 14 schematic benefit flows to the community

3.2. Benefit to be used for

The groups discussed on how the benefits obtained from emission reduction to be used by the community. The groups proposed the following benefit use;

- Of the total benefits share of the community, if 20 % to be used by the wider community (non – CBO and CBO) for different social development activities and
- The remaining 80 %, if decided by the CBO members as per their forest management plan and forest management agreement
- If the disbursement mechanism determined by CBO and social development activities incorporated in use of the benefit

- The communities (Organized CBOs) can determine for what purpose they will use. However, forestry activities should be considered and address the driver of deforestation identified in that particular area

General discussion

After groups' presentation, plenary discussion session was led by Dr. Motuma. The three points have been discussed and consensus reached. Accordingly;

- Identified eligible stakeholders are; Government, Community (both organized and non-organized) and private sector. Non-organized community was incorporated in order to build positive attitude. Government and community (both organized and non-organized) were agreed as vertical benefit share while the private sector contribution, if treated as per their significant share in removal
- Two alternatives proposed for Vertical benefit sharing these were; benefit sharing from net ER (80% community and 20% government) and benefit sharing from gross ER (80% community and 20% Government).
 - ✓ Most of the participants agreed that if the vertical share would be maintained from gross ERP (80% community & 20% government). However, the operational cost to maintain ORCU and sustainability should be considered
 - ✓ Benefits obtained from ERP, there were agreed that use of benefits obtained from ERP is determined by forest dependent CBOs. And some amount of (%age) of the share if used for communal (both CBOs and Non-CBOs) developmental activities
- The benefit sharing criteria and proposed weight (table 1) was also discussed. Accordingly, the benefit sharing criteria and weight agreed if it would be
 - A. Performance (size of emission reduction) 60%, hence primarily the program has been designed as result based finance
 - B. Forest area (positive incentive) 25%, hence the role and custody of forest conservation by communities should be encouraged

- C. Opportunity cost (economic/land use opportunity) 15%.
Though, opportunity cost for natural forest is not formal, the international REDD+ principle of customary forest right should be considered
- Benefit flow structure, majority of participants agreed that if the fund flow would be short and easy to cut bureaucratic process of fund flow. So that if fun disbursed from Federal to ORCU to Union and then to CBOs.

Presentation of BSM Community consultation Schedule

Dr Motuma Tolera has presented woredas, kebeles and type of respondents consulted at community level. 20 woredas and 2 rural kebeles from each woredas would be consulted. Woredas was selected per their representation of vegetation type and social setup. Communities to be consulted would be elders, youth, women and PFM leaders, where PFM is functional. The methodology of consultation would be participatory group discussion and key informant interview. The consultation scheduled from October 2, 2016 (see annex III).

Closing remarks

Ato Ararsa Regassa has concluded the consultation workshop. He said that the consultation workshop was the continuation from steering committee and many inputs which will enrich the BSM was gained. The contributions by the experts are very crucial hence most of the participants were from different school of thought and varied experiences. His esteemed organization has an experience working in REDD+ projects with FARM Africa in particular in Bale. Thus, it is important to not to develop over expectation of forest dependent community.



Figure 15 Closing remarks, Ato Ararsa Regassa

Finally, he expressed his appreciation participants for their presence as per his organization invitation

Annex

List of participants

OROMIA FOREST AND WILDLIFE ENTERPRISE
OROMIA REGIONAL REDD+ COORDINATION UNIT
Attendance Sheet of Participants of "Benefit Sharing Mechanism for OFLP" Consultation workshop
(For experts)
September 3/2016, Bishoftu, Pyramid Hotel

S. No.	Name of participants	Sex	Organization	Responsibility	Address		Signature
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September 3/2016, Bishoftu, Pyramid Hotel

S. No.	Name of participants	Sex	Organization	Responsibility	Address		Signature
					e-mail	Phone	
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4	Motuma Didi Ta	M	EBI	Branch Director	motumadidi@gmail.com	0912 28143	
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Annex II
Agenda of Consultation workshop

OROMIA FORESTED LANDSCAPE PROGRAM

OFLP Benefit Sharing Mechanism Federal & Regional Levels Consultative Workshop

Pyramid Paradise Hotel, Bishoftu 03/09/2016

No	Time	Activity	Responsible body
2	8:30 – 9:00	Registration	ORCU
3	9:00 – 9:15	Workshop Objective & Self introduction	Ato Tesfaye Gonfa, Program Coordinator
4	9:15 - 9:30	Welcoming & Opening remark	Ato Getu Gemechu, Oromia President Advisor
5	9:30 – 10:00	Presentation of OFLP Preliminary Benefit Sharing Mechanism Proposal	Dr. Motumma Tolera, Individual Consultant
	10:00 – 10:30	Discussion	Ato Ararsa Regasa, Deputy DG OFWE
6	10:30 - 11:00	Coffee Break	ORCU
7	11:00 – 12:30	Group discussion	Dr. Motumma Tolera
8	12:30 - 2:00	Lunch	ORCU
9	2:00 – 3:00	Presentation of Group Findings	Group representatives
10	3:00 – 3:30	General discussion	Dr. Motumma Tolera
11	3:30 – 4:00	Coffee break	ORCU
12	4:00 – 4:45	Presentation of BSM Community consultation Schedule	Dr. Motumma Tolera
13	4:00 – 4:15	Closing Remark	Ato Ararsa Regassa

Annex III

Community consultation schedule

1.

OFWE Branch	Travel	Woreda	Activity	Day	proposed schedules of field work
E/Wollega	Travel to Gudeya Bila	Gudeya Bila		D1	22/01/2009
			PGD with Elders and Youth (Kebele 1)	D2	23/01/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D3	24/01/2009
			PGD with Elders and Youth (Kebele 2)	D4	25/01/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D5	26/01/2009
W/Wollega	Travel to Nole kaba (morning)	Nole kaba	PGD with Elders (Kebele 1) - afternoon	D6	27/01/2009
			PGD with Women and Youth (Kebele 1)	D7	28/01/2009
			KII with PFM leaders (Kebele 1) - morning	D8	29/01/2009
			PGD with Elders (Kebele 2) - afternoon	D8	29/01/2009
			PGD with Women and Youth (Kebele 2)	D9	30/01/2009
			KII with PFM leaders (Kebele 1) - morning	D10	1/2/2009
Kelem Wollega	Travel to Dambi Dolo (afternoon)			D10	1/2/2009
		Anfilo	PGD with Elders and Youth (Kebele 1)	D11	2/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D12	3/2/2009
			PGD with Elders and Youth (Kebele 2)	D13	4/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D14	5/2/2009
		Yamalogi Walal	PGD with Elders and Youth (Kebele 1)	D15	6/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D16	7/2/2009
			PGD with Elders and Youth (Kebele 2)	D17	8/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D18	9/2/2009
					10 & 11/02/2009

2.

OFWE Branch	Travel	Woreda	Activity	Day	proposed schedules of field work
Jimma	Travel to Jimma			D1	22/01/2009
		Sigimo forest	PGD with Elders and Youth (Kebele 1)	D2	23/01/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D3	24/01/2009
			PGD with Elders and Youth (Kebele 2)	D4	25/01/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D5	26/01/2009
	Travel to Gera	Gera	PGD with Elders and Youth (Kebele 1)	D6	27/01/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D7	28/01/2009
			PGD with Elders and Youth (Kebele 2)	D8	29/01/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D9	30/01/2009
Ilubabor	Travel to Bedele	Bedele		D10	1/2/2009
			PGD with Elders and Youth (Kebele 1)	D11	2/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D12	3/2/2009
			PGD with Elders and Youth (Kebele 2)	D13	4/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D14	5/2/2009
	Travel to Metu	Becho	PGD with Elders and Youth (Kebele 1)	D15	6/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D16	7/2/2009
			PGD with Elders and Youth (Kebele 2)	D17	8/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D18	9/2/2009
	Travel AA			D19 & D20	10 & 11/2009

3.

OFWE Branch	Travel	Woreda	Activity	Day	proposed schedules of field work
Guji-Borana	Travel to Adola			D1	22/01/2009
		Adola	PGD with Elders and Youth (Kebele 1)	D2	23/01/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D3	24/01/2009
			PGD with Elders and Youth (Kebele 2)	D4	25/01/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D5	26/01/2009
	Travel to Bule Hora	Bule Hora		D6	27/01/2009
		Bule Hora	PGD with Elders and Youth (Kebele 1)	D7	28/01/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D8	29/01/2009
			PGD with Elders and Youth (Kebele 2)	D9	30/1/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D10	1/2/2009
	Travel to AA			D11	2/2/2009

4.

OFWE Branch	Travel	Woreda	Activity	Day	proposed schedules of field work
Hararghe	Travel to Ciro			D1	22/1/2009
		Chiro	PGD with Elders and Youth (Kebele 1)	D2	23/1/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D3	24/1/2009
			PGD with Elders and Youth (Kebele 2)	D4	25/1/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D5	26/1/2009
	Travel to Gara Mulata			D6	27/1/2009
		Gara Muleta	PGD with Elders and Youth (Kebele 1)	D7	28/1/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D8	29/1/2009
			PGD with Elders and Youth (Kebele 2)	D9	30/1/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D10	1/2/2009
	Travel to AA			D11	2/2/2009
Finfine	Travel to Dandi	Dandi/Jaldu	PGD with Elders and Youth (Kebele 1)	D12	3/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D13	4/2/2009
			PGD with Elders and Youth (Kebele 2)	D14	5/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D15	6/2/2009
	Travel Jibat	Jibaat	PGD with Elders and Youth (Kebele 1)	D16	7/2/2009
			PDG with Women and KII with PFM leaders (Kebele 1)	D17	8/2/2009
			PGD with Elders and Youth (Kebele 2)	D18	9/2/2009
			PDG with Women and KII with PFM leaders (Kebele 2)	D19	10/2/2009
	travel to AA			D20	11/2/2009