

# **MINISTRY OF ENVIRONMENT AND FOREST**

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**NATIONAL REDD+ SECRETARIAT**

**REDD + ANNUAL COUNTRY PROGRESS REPORTING**

**COUNTRY: ETHIOPIA**

**PERIOD: August 1, 2013<sup>1</sup> – August 30, 2014**

**August, 2014**

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<sup>1</sup> This report covers activities implemented since the launching of the R-PP implementation in January 2013. New updates in the report are to be compared with earlier report communicated to FCPF in April 2014.

**Addis Ababa**  
**Ethiopia**

## 1. GENERAL INTRODUCTION

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**Significance of the REDD+ Program in Ethiopia:** REDD+ is part of a national strategy, referred to as Climate Resilient Green Economy (CRGE) strategy that aims at the main sectors of the economy to develop an environmentally sustainable and climate resilient economy, which brings the country at middle income status with net zero emission by 2030. Ethiopia considers REDD+, which is an important component of the CRGE strategy, as an opportunity and viable source of sustainable finance for investment in forest management, forest conservation, and forest restoration to enhance multiple benefits of forests, including but not limited to biodiversity conservation, watershed management, increased resilience to climate change, improved livelihoods and reduced poverty. REDD+ readiness is supported by the FCPF Readiness and BioCarbon Fund grants. The government of Ethiopia has recently established the new Ministry of Environment and Forest mandated to coordinate among other development programs, the implementation of the CRGE strategy, overall environmental management in the country and forestry. The Forest Sector as one of the two major technical wings of the ministry is responsible for the implementation of the REDD+ readiness project in conjunction with its responsibility of strengthening the economic and ecological benefits of the forest sector in the country.

The REDD+ Secretariat at the Ministry of Environment and Forest is the prime unit for the coordination and implementation of the National REDD+ Readiness process, including the R-PP implementation which started on January 2013. The Ethiopian REDD+ Readiness is partially funded by the FCPF grant which was signed in October 2012. The balance of the funding required for implementation of the R-PP is provided by the Norwegian government and UK's DFID. The REDD+ Secretariat in consultation with the trustee World Bank is the Readiness grant executer/implementer.

**Purpose of the Report:** Biannual and annual progress reports for Readiness are provided for the FCPF following a reporting format developed by the FCPF team. Thus, this report is compiled to inform FCPF about the activities undertaken and progress made on REDD+ Readiness as of the official launching of the R-PP implementation back in January 2013, but with special emphasis on activities implemented during the period August 2013 to August 2014. The Past reports to June 2013 have been prepared and submitted to the FCPF using the old format.

## 2. SUMMARY OF REPORT

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The key achievements in the Readiness process during this reporting period are the following:

- A keystone action by the government of Ethiopia with respect to the national REDD+ readiness is the establishment of the Ministry of Environment and Forest, mandated, among others, realizing the goals of the CRGE strategy. The REDD+ Secretariat has taken a leading role in the development of the organizational structure and Terms of Reference for the staffing of the Forest Sector wing of the Ministry.
- The REDD+ process in the country benefits from this key action. Now, REDD+ Secretariat has moved to MEF in October 2013 and is now directly accountable to the State Minister for Forest Sector within the new Ministry.
- Another key achievement is the staffing of the REDD+ Secretariat and procurement of major goods. Twelve of the 13 staff needed at the Secretariat are already hired, including one International MRV Specialist who joined the Team at the beginning of August 2014. The capacity of the Secretariat is by now much better than before. Office space is secured

and the procurement of office machines and furniture for the Secretariat has almost been completed.

- The REDD+ Secretariat has completed the required REDD+ management arrangements at federal level and as per the REDD+ Readiness M&E framework regular meetings are being held with members of the REDD+ management including the Steering Committee, Technical Working Group and 3 Task Forces. This process has continued at Regional level by the recently established the Oromia REDD+ Steering Committee. The Secretariat is currently hiring staff, and organizing the Oromia REDD+ Coordination Unit; the regional REDD Coordinator and the Social Development Specialist are already in place, located at the Oromia Forest and Wildlife Enterprise. Three REDD+ Coordination Units will be opened this year in Southern Nations, Nationalities, and Peoples, Amhara and Tigray Regional States for facilitating regional REDD+ Readiness and for the coordination of the implementation of REDD+ pilot projects.
- REDD+ awareness and training has also shown progress during this reporting period reaching over 1500 people (including the REDD+ management members, parliamentarians, Vice-Presidents of Regional States), who were introduced to the concept and application of REDD+ and about the purpose and status of the Readiness process in Ethiopia. On August 18, 2014 an SMS was sent to 12 million customers of Ethio-telecom (all mobile phone users in the country) with key messages on forest conservation and afforestation. In collaboration with Government Communications Ministry, a seminar was conducted on CRGE strategy, REDD+, and Forest sector Development to 50 media experts and reporters in the country.
- The REDD+ Secretariat has facilitated the preparation of a new REDD+ support program to the Government of Ethiopia from Norway. In August 2013, Norway and Ethiopia have signed a REDD+ Partnership Program for support based on performance.
- As a result of our performance in REDD+ Readiness in 2013 and 14, Norway provided additional USD 10 million for supporting REDD+ program in Ethiopia that assists the implementation of REDD+ pilots in regional states and allow to fully implement the R-PP to make the country ready by mid 2016.
- Ethiopia intends to present a draft REDD+ strategy at the Lima UNFCCC COP 20 - the Lima Climate Change Conference, in December 2014 meeting, and for this, a task team has been established for developing the draft. The REDD+ Strategy Task Force will technically lead the development of the national REDD+ strategy. The national REDD+ Strategy development will continue to improve with inputs from Oromia studies undergoing to inform the Oromia REDD+ design as well as from studies to be launched soon for the national REDD+ readiness. The selection of an international firms for an in-depth analysis of causes of deforestation and forest degradation and strategic options for addressing them is now almost completed. The evaluation of technical proposals from shortlisted firms is now going on for the SESA and formulation of ESMF.. The results of these studies form the basis for the formulation of the national REDD+ strategy by providing critical inputs and recommendations.
- On the MRV and Reference Emissions Level (REL), the GoE and FAO have signed a Technical Assistance agreement on August 8, 2014 for the implementation at the national level of a MRV system and for developing RELs. The International MRV Specialist will be primarily responsible for the quality control and assurance from FAO TA, besides establishing systems internally. The project will last for 2.5 years with an approximate budget of USD 3.9 million. Part of the FAO's task is to implement the REDD+ compliant National Forest Inventory, which has already been launched in March 2014. Most of the

outcomes of the project are expected to be finalized by June 2016; a target date for Ethiopia to be ready to participate formally to the global REDD+ mechanism.

- On planning and budget, Ethiopia has prepared the REDD+ Readiness Monitoring and Evaluation Framework with a support from an international consultant hired by the World Bank. This has simplified planning efforts of the national REDD+ Secretariat. Clear milestones and targets have been developed so that Ethiopia will get REDD+ Ready by June 2016. The REDD+ AWP and PP for the 2014/15 fiscal year has been developed on the basis of this framework and cleared by the World Bank.
- Review of existing policies and strategies of the Forest Sector by MEF is going on taking into account the emerging programs such as REDD+ and carbon trading; and a draft of the forest definition has been proposed and presented for discussion involving national stakeholders. A draft national forest definition has been proposed and will be endorsed by stakeholders during this quarter.

The major challenges included the following:

- Low disbursement was a key issue so far which is mainly explained by the long time it took to re-establish the REDD+ Secretariat at the Ministry of Agriculture (MoA) resulting in late start of the R-PP implementation (grant agreement signed in October 2012 and disbursement started in July 2013 when the FM Specialist was brought on-board). The procurement process that started at MoA was re-initiated when the Secretariat moved to the new ministry resulting on a few months of delay in procurement of goods. This is understandably due to inadequate awareness of staff and management of the new ministry about the Project and procurement procedures of WB financed project by then. The procurement has improved by now, and the purchase of one vehicle and all office furniture and office machines for the REDD+ Secretariat has been completed, and a detailed procurement plan with large amount of money is planned for this year. The delay in procurement of services is partly explained by the inadequate experience of the Secretariat's on procurement of the international services.

The challenges encountered so far were mainly related to the institutional formation for the REDD+ Readiness implementation. The ministry is now expediting the implementation of the R-PP and is determined to dealing with the current low level of disbursement as a priority. This Fiscal Year (July 2014 to June 2015) we have planned to spend close to USD 4.5 million excluding the budget for FAO Technical Assistance. Overall, the REDD+ Secretariat finds itself in a relatively stable and productive moment since March 2014 than it was before.

### 3. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention “does not apply – n/a”.

#### 3.1 PROGRESS AT THE IMPACT LEVEL (if any data available)

Please provide here any quantitative and qualitative information, if available on the following criteria/indicators.

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Number of tons of CO<sub>2</sub> emissions from deforestation and forest degradation reduced in the country during the reporting period as compared to the measured REL/RL, if any (FCPF M&E Framework Indicator I.2.B; Ethiopia PMF Indicators O6.1 and I2):

National Forest Reference Emission Level (REL)/Reference Level (RL) defined: n/a

Number of tons reduced during the reporting period as compared to REL/RL:

For sub-national initiatives the following are presented: Initiatives and actions on the ground exist, but no monitoring system is put in place to quantify the emissions reduction made. The Oromia REDD+ Pilot Program, which is in its design phase, is expected to generate up to 40 million tons of CO<sub>2</sub>e until 2020 and up to 120 million tons of CO<sub>2</sub>e until 2035. The Bale Eco-region REDD+ project, within Oromia, will generate over 20 million tons of CO<sub>2</sub>e emissions reduction in 20 years. Other project level actions also exist such as the NTFP Project in Kaffa with little information on the ERs generated.

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.4.A; Ethiopia PMF indicator 5.a.1.ii):

Source: Norway for Readiness	Amount provided: USD 5 million
Source: DFID (UK) for Readiness	Amount provided: USD 5 million
Source:	Amount provided:
Source:	Amount provided:
Source:	Amount provided:

Amount of non-FCPF investments received for implementation of ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.4.A; Ethiopia PMF indicator I3.4):

Source: Norway for Oromia REDD+ program design	Amount provided: USD 3 million
Source: Norway (Official Pledge)	Amount provided: USD 50 million
Source: Norway (Payment for Readiness performance)	Amount provided: USD 10 million

Level of multi-stakeholder participation and engagement in decision making processes related to emission reductions and forest resource management (*FCPF M&E Framework Indicator I.5.A; Ethiopia PMF indicator II.1*): Please describe the process of engagement and consultation implemented, including describing the level of participation and engagement for the following stakeholder categories: Government Agencies, Indigenous Peoples, Other forest-dependent peoples, Women, Youth, Civil Society Organizations, Private Entities, Donors, and Others

The leadership of REDD+ Readiness has now resides in the Ministry of Environment and Forest (MEF). The REDD+ management arrangements involve the REDD+ Secretariat implementing the Readiness process on behalf of the MEF. The role of the Secretariat is to facilitate, coordinate and spearhead REDD+ process by bringing together relevant stakeholders to engage in decision-making and action. At the federal level there is currently a REDD Steering Committee (RSC) and REDD Technical Working Group (RTWG). The State Minister for Forest is chairing the National REDD+ Steering committee, a decision making body providing oversight for REDD+ Readiness. Members of the REDD+ Steering Committee are drawn from key REDD+ government institutions such as MEF, Ministry of Agriculture, Ministry of Water, Irrigation and Energy, Ministry of Children, Women and Youth, Deputy Director Generals of Regional Forest Enterprises, Representatives of Forest Cooperatives and Academic Institutions, and the Media. The Technical Working Group is providing technical guidance and quality assurance. It is chaired by the Director of Forest Policy and Strategy of MEF. The RTWG draws members from government institutions, civil societies and donors. Three task forces focused on REDD+ Strategy, MRV system and Safeguards regularly meet and actively engage in providing technical support to REDD+ readiness.

The REDD+ management arrangement is gradually moving to embrace the regional states level REDD units. Regional Steering Committee and Regional Technical Working Group have been functional in Oromia Region, with representatives from the forest-dependent peoples and civil society organizations. Similar arrangements are being followed in other regional States, such as REDD+ Coordination Units, playing the role of the REDD+ Secretariat at Regional Level are being organized in SNNRP, Tigray and Amhara regional states.

Nb. and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (*FCPF M&E Framework Indicator I.5.B; Ethiopia PMF indicator II.2*):

Number of policy reforms during the reporting period that are:

- **Underway: 1**

A study of legal and institutional framework for REDD+ implementation has been initiated under the Oromia Jurisdictional REDD+ Pilot Project, and will inform further policy and institutional reforms needed at national and regional levels for operationalization of the REDD+ program.

- **Completed: 2**

Description of these policy reforms: (1) Following the formation of the new ministry, Ministry of Environment and Forest, has revised the existing forest policy and strategies, which has been submitted to the House of People's Representative for decision. The review took account of the new developments in the forest sector including REDD+. The forest regulation that details the Proclamations is also under review and discussion with key

regional stakeholders.

(2) Proclamation No.803/2013, the establishment of the Ministry of Environment and Forest that amended the previous Proc. No.295/2002 for the establishment of the Environmental Protection Authority of Ethiopia. This legislative reform brought the Environment and Forest sectors under a single institutional arrangement.

## 3.2 PROGRESS AT THE OUTCOME AND OUTPUT LEVEL

### 3.2.1. REDD Readiness Progress

- Outcome level

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (*FCPF M&E Framework Indicator 1.A; Ethiopia PMF indicator II.3.2*):

Briefly describe progress made during the reporting period in developing the country Readiness Package:

- Output level

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.6 million USD)

Components	Sub-components	Planned Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements 1b. Consultation, Participation, and Outreach, feedback and grievance redress	Yes (1,540 = 42.8%)
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance 2b. REDD+ Strategy Options 2c. Implementation Framework 2d. Social and Environmental Impacts	Yes (2,060 = 57.2%)
3. Reference Emissions Level/Reference Levels		No (0 %)
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No (0 %)
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	
6. Design a Program Monitoring and Evaluation Framework		No (0 %)

**Level of implementation of R-PP<sup>2</sup> as a whole:**

Please describe the current R-PP implementation stage:

**National REDD Readiness Management Arrangements:**

During the R-PP development in Ethiopia national stakeholders pointed out that lack of a dedicated forest institution as a serious gap for REDD+ program in the country. In response to this, the government of Ethiopia has made a keystone action for realizing the goals of the CRGE strategy (REDD+ included) by establishing the new Ministry of Environment and Forest. The REDD+ process in the country benefits from this key action. Now REDD+ Secretariat is directly accountable to the State Minister for Forest Sector in the ministry.

Another key achievement in this component is the staffing of the REDD+ Secretariat and procurement of the major goods in the procurement plan of the FCPF grant. Eleven of the 13 staff needed at the Secretariat has been already hired; the Senior M&E and International MRV Specialist have newly joined the staff. The capacity of the Secretariat is by now very much better than before.

The establishment of the federal REDD+ management arrangement (Steering Committee, Technical Working Group and three Task forces supporting the development of the national REDD+ Strategy, Safeguards, and MRV) and quite an important step for the effective implementation of the REDD+ Readiness, has been completed now. REDD+ awareness and training have been given to all members of the REDD+ management bodies. Awareness have also been expanded to the regional higher officials, senior experts, parliamentarians, research academic institutions and civil society in a series of workshops and seminars. The third joint TWG meeting, as well as the meeting for REDD+ strategy task force, the REL/MRV task force, and the SESA/ESMF task force was held in Hawassa on June 27, 2014. The main agendas for the meetings were reviewing the Annual Work Program for the FY 2014/15 and on the progress of REDD+ readiness. The TWG expressed their appreciation on the progress made so far despite existing challenges of institutional dynamics.

**Stakeholder Consultations and Communication:**

A national REDD+ Awareness Workshop has been conducted in January 2014 where about 400 people from regional higher officials, senior experts, NGOs working on NRM, and research and academic institutions had participated. Additionally, awareness on REDD+ and Readiness was created to management and professional staff of Ministry of Environment and Forest and all members of the REDD+ Management Bodies (Technical Working Group, Steering Committee, and Task Forces). Three Regional level awareness workshops were held in Southern Nations, Tigray and Benishagul-Gumuz Regions. Further, a series of meetings and workshops were also held to create awareness on REDD+ program to Hawassa University (Wondo Genet College of Forestry), Addis Ababa University, Jima University, the Ethiopian Forestry Society, NRM experts and heads of the Amhara Regional State, and for participants of the International Forest Day. Over 1200 people from various stakeholders have benefited from these efforts by the REDD+ Secretariat so far. Many of the events (national workshops, TWG meetings, etc.) were covered by national and local media outlets including radio, TV and newspapers.

A Training of Trainers (TOT) has been conducted at Wondo Genet College of Forestry and

<sup>2</sup>Please note that you will be able to assess progress on the implementation of R-PP-P components and sub-components in table included in the following pages.

Natural Resources in November 2013 for Regional REDD+ focal persons and senior experts on REDD+, Forest (Carbon) Inventory, PFM and forest fire in the context of REDD+.

About 2000 copies of a Booklet on 'Overview of the REDD+ process in Ethiopia' has been published and distributed to stakeholders.

**REDD Strategy Preparation:**

Ethiopia intends to present a draft REDD+ strategy at the COP 20 - the Lima Climate Change Conference, in December 2014, and for this a task team is working on developing the draft. The REDD+ Strategy Task Force will technically lead the development of national REDD+ strategy. The national REDD+ Strategy development will continue to improve with inputs from Oromia REDD+ design studies and the national studies and two national level studies on drivers of deforestation and forest degradation and SESA and the associated ESMF formulation for REDD+ implementation. Four technical studies were launched in early May for the Oromia REDD+ Pilot program design- which is flagship pilot at Regional State level (may be the first of its kind in the world). The firms that are preparing the studies have been hired by the World Bank. The Oromia REDD+ pilot feeds to the national REDD+ strategy development. The technical studies focus on: a) identification of drivers of deforestation and forest degradation and strategic options for addressing the drivers (national and Oromia state level); b) RL/MRV design (Oromia state and national level); c) consultation and participation plan; and d) institutional and legal framework assessment and actions needed for the implementation of REDD+ in the region (Oromia and national level); and e) SESA and ESMF formulation for the national REDD+ strategy.

**Implementation Framework:**

The key action in this component is the establishment of a dedicated national forestry institution that has been put in place now at the federal level: Ministry of Environment and Forest (MEF). The CRGE forms the national framework strategy for the implementation of REDD+ program in the country. REDD+ is one of the four pillars of the green economy component of the CRGE strategy. A national and Oromia state level studies were launched in May 2014 on the legal and institutional framework for REDD+ implementation.

With regard to legal framework for REDD+ implementation, MEF is currently reviewing the Forest Strategy and Proclamation of 2007 in order to create enabling conditions for evolving investment climates where private involvement on forest development specifically to be enhanced, and climate change and forest programs to be covered with clear legal framework. The future institutionalization of MEF in the regions, zones and Woredas will significantly impact the forest sector development, law enforcement and supervision capacity.

The REDD+ readiness process at national level is coordinated by the REDD+ Secretariat at MEF. With respect to the coordination of REDD+ readiness at the lower level of administration, the second TWG meeting passed a recommendation on the establishment of regional REDD+ Units in some regions where there is advanced REDD+ activities such as in Oromia, while the REDD+ capacity building activities in other regions will be coordinated through REDD+ focal persons.

**SESA/ESMF:**

A Safeguards Task Force has been established, is functional, and held 3 training sessions so far with focus on the concept, significance and application of safeguards principles, in particular reference to the World Bank's safeguards policies and national environmental laws, as well as the importance of consultations and grievance redress mechanism for safeguarding people from the negative impacts of REDD+ implementation. The SESA process is led by the Safeguards Specialist at the REDD+ Secretariat. Identification of social and environmental risks associated with REDD+ implementation and developing a framework for managing the risks are key activities. A consultancy firm technical evaluation of shortlisted firms is finalized now for the SESA/ESMF formulation consultancy. The results of these studies will form one of the foundations of the national REDD+ strategy development providing critical inputs and recommendations.

**Development of a Reference Scenario and MRV Design:**

A REDD+ MRV roadmap has been developed with the support of the MRV task force. For the implementation of the roadmap, the GoE and FAO have signed a Technical Assistance (TA) agreement on August 8, 2014. The major tasks of this TA are establishing the RELs/RLs, undertaking the National Forest Inventory and designing the MRV system. Details of the TA include undertaking the National Forest Inventory, institutional arrangements for REDD+ MRV, analysis of existing data and developing data management and storage facility, developing generating and analyzing new forest datasets, establishing reference levels, developing benchmark forest map, designing monitoring systems for deforestation, forest degradation, and carbon enhancements, developing web-portal for REDD+ actions monitoring, and national capacity building through training on MRV and installing national RS/GIS unit. In consultation with the MRV task force, the REDD+ Secretariat and the Forest Sector of MEF, FAO has developed a detailed project proposal that will be implemented within 2.5 years - in alignment with the REDD+ Readiness target time of June 2016. FAO will be financially supported by the Readiness Fund from the BioCarbon Fund (approximately USD 3.9 million). The MEF and MRV/REL Task Force played an important role in this process of project document preparation and review. As part of the preparation for the MRV design, an ad-hoc expert team was established to propose a national forest definition, which has produced a draft definition on which first round of consultation was done in April 2014. A REDD+ Compliant National Forest Inventory has been launched in March 2014, where data collection is going on at the moment. The International MRV Specialist, who is stationed at the REDD+ Secretariat, leads the national MRV process.

Level of achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.6 million USD) (FCPF M&E Framework 1.3.b.) <sup>3</sup> :		
<p><u>Planned Milestones</u> :</p> <p><i>Outcomes according to REDD+ Readiness M&amp;E Framework</i></p> <p>The National REDD+ Secretariat within MEF fully operational</p> <p>A national REDD+ Strategy including its legal and institutional framework, fully prepared and validated by a broad spectrum of national stakeholders</p> <p>An ESMF fully prepared and validated</p> <p><i>Additional outputs expected by grant:</i></p> <p>REDD+ Steering Committee and Technical Working Groups (RTWG) at the national and regional levels operational</p> <p>Consultation and Participation Plan of the R-PP implemented and targeted communication material prepared</p> <p>In-depth analysis of drivers of deforestation and forest degradation in Ethiopia conducted, identifying potential pilot REDD+ projects and ensuring knowledge exchange on the strengths and weaknesses of PFM in Ethiopia</p> <p>SESA of REDD+ in Ethiopia including multi-stakeholder consultations conducted</p> <p>Feedback and grievance redress mechanism assessed</p> <p>Studies and analysis carried out to identify options for: i) development of an efficient, effective and fair mechanism for sharing benefits related to the implementation of REDD+; ii) mechanisms for managing funds at the national level and for distributing them to the local level; and iii) legal status of emission reductions</p>	<p><u>Level of Achievement</u><sup>4</sup>:</p> <p>The National REDD+ Secretariat within MEF fully operational</p> <p>Preparation of national REDD+ Strategy is being speeded up to develop a draft by November 2014.</p> <p>Study to be launched soon by a consortium of local and international firms.</p> <p>SC and TWG operational</p> <p>Consultation and participation engagements are going on according to plan (REDD+ management bodies and regional stakeholders-consultations on several issues including regional management arrangements, safeguards, readiness timeframe, MRV, outline of REDD+ strategy)</p> <p>Drivers of deforestation study is progressing well—firm selection done—waiting for No objection from World Bank.</p> <p>Consultancy firm selection for SESA/ESMF study is almost finalized</p> <p>Grievance redress mechanism, benefit sharing, fund management</p>	<p><u>Tracking</u><sup>5</sup>:</p> <p><i>Please select your light rating:</i></p> <p> <input checked="" type="radio"/> Significant progress</p> <p> <input type="radio"/> Progressing well, further development required</p> <p> <input type="radio"/> Further development required</p> <p> <input type="radio"/> Not yet demonstrating progress</p> <p> <input type="radio"/> Non Applicable</p> <p><i>Please explain why: Almost planned milestones until this reporting period show remarkable progress</i></p>

<sup>3</sup>Please note that the FCPF grant agreement contains no defined milestones. The Banks Grant Reporting and Monitoring (GRM) for Ethiopia Report monitors three outcomes, which are listed here together with activities from the Grant agreement (article II) reformulated into outputs.

<sup>4</sup>Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.2 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

<sup>5</sup>The level of achievement of planned milestones according to approved RF grant is to be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color 'traffic light' scale (based on the system contained in the R-Package Assessment Framework) and then explained. In case the assessment is not applicable, a fifth color scale "Non Applicable" can be selected.

<p>(carbon credits) in Ethiopia</p> <p>A national registry system to monitor, report and verify REDD+ activities and track financial flows to REDD+ activities designed</p>	<p>and legality of ER are assessed by firm conducting institutional and legal framework study</p> <p>Planned during the 2016 FY</p>	
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Degree of achievement of planned milestones per R-PP component and sub-component (*FCPF M&E Framework 1.3.c.*).  
Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 30<sup>th</sup> each year

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>Component 1 – Readiness Organization and Consultation</b>					
<b>Sub-Component 1a – National REDD+ Management Arrangements</b> <i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i> <i>Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism<sup>7</sup></i>	01.1 Inclusive national REDD+ Steering committee (RSC) and RTWG fully functional	Yes	Yes	Yes	 ● Significant progress
	01.2 At least 4 inclusive regional Steering committees and 4 RRTWGs functional	1 Oromia RSC	1 Oromia RSC	1 Oromia RSC	 ● Progressing well, further development required
	01.3 MTR and R-Package discussed with all relevant Stakeholders including forest dependent peoples and local communities before submission	n/a	n/a	n/a	 ● Further development required
	1.a.1.i Sufficient frequency of national and regional RSC, RTWG, and TF meetings to take timely decisions.	2RSC, 2RTWG, 9TF, 1RRSC	1RSC, 2RTWG, 9TF, 1RRSC	1RSC, 2RTWG, 9TF, 1RRSC	 ● Not yet demonstrating progress
	1.a.1.ii At least 67% average of participation of members in meetings	67%	67%	67%	 ● Non Applicable
	1.a.1.iii Inclusiveness of national and regional SCs, RTWGs sustained	Inclusive	Inclusive	Inclusive	 ● <i>Please explain why: Almost planned milestones until this reporting period are</i>
	1.a.2.i 100 % of REDD+ Secretariat staff positions occupied (6 technical, 4 fiduciary, 4 support staff)	80%	80%	80%	
	1.a.2.ii 100% of planned regional REDD+ coordination units established and functional	Oromia RCU created	Oromia RCU created	Oromia RCU created	
1.a.2.iii 90% of foreseen Training days according to Training plan for REDD Secretariat and RCU staff implemented	0	0	0		
1.a.2.iv All external audits submitted in due time					

<sup>6</sup>The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework. The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.

<sup>7</sup>Grievance redress mechanism is treated under subcomponent 1B indicator 1B.1.vi.

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
	and without major remarks 1.a.2.v 100% of reports submitted in due time to REDD+ Governance structures such as RSC and FCPF	1 external audit 2 WB audits  100% of MEF, 3FCPF, 1NORWAY, 1DFID	1 external audit 2 WB audits  100% of MEF, 3FCPF, 1NORWAY, 1DFID	1 external audit 2 WB audits  100% of MEF, 3FCPF, 1NORWAY, 1DFID	<i>progressing well.</i>
Sub-Component 1b – Consultation, Participation, and Outreach <i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i> <i>Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes</i>	O2.1 At least 4 governmental and 4 other REDD+ partner project proposals of REDD pilot sites submitting for REDD+ Funding O2.2 Increased degree of participation of different stakeholders in number, composition and levels in different events on REDD+ O2.3 Increasing number of REDD+ activities organized by other stakeholders 1.b.1.i 100 % of communication strategy implemented 1.b.1.ii (will be refined according to communication strategy) - No of Electronic (audio-visual) media - No of Print media - No of Social media - No of REDD+ newsletter by Target group, language and region 1.b.1.iii High outreach according to planning in communication plan and positive Feedback on REDD+ media 1.b.1 iv Website regularly updated in English and Amharic with download possibility of all relevant REDD+ information and publications 1.b.1.v High Number of hits on the website and Active public debate on social media & on REDD+ and user question being answered 1.b.1.vi Guidelines for grievance and conflict resolution at different levels developed and introduced	1 Oromia, 3 Ongoing NGO projects  1082  3  n/a  3 2 3 0  n/a  Set up at MoA, upload & update  n/a  n/a  3 modules	Site Identification  1000  2  n/a  3 2 3 0  n/a  Set up at MoA, upload & update  n/a  n/a  3 modules	Site Identified  1000  3  n/a  3 2 3 0  n/a  Set up at MoA, upload & update  n/a  n/a	 ● Significant progress  ● Progressing well, further development required   ● Further development required  ● Not yet demonstrating progress  ● Non Applicable  <i>Please explain why: The activities in this component progress well but in some areas of milestones more effort is necessary. Particularly in</i>

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
	1.b.2i 4 technical training modules on REDD+ developed			3 modules	<i>the lower level of the implementation and representation in the decision and consultation bodies, those community representatives and stakes has to be actively involved.</i>
	1.b.2.ii 235 federal, regional and zonal technical trainers trained by gender (at least 20% females)	8 ToT, 27 others	8 ToT, 27 others	8 ToT, 27 others	
	1.b.2.iii 80% implementation of foreseen training days according to joint annual training program of key stakeholders on REDD+ by gender, topic, and region	n/a	n/a	n/a	
	1.b.2iv 3 national and 3 regional training of in total 100 journalists/PR officers at least 30% females of pilot regions	0	0	0	
	1.b.2.v 800 training days in forest governance for 8 regions (10 training days per person) and at least 20% females	35 trainers (4 females) (350 days)	35 trainers (4 females) (350 days)	35 trainers (4 females) (350 days)	
	1.b.2.vi 10 lectures on REDD+ at academia	3	3	3	
	1.b.2.vii REDD+ integrated into 1 Bachelor, 1 Master curricula including training material	0	0	0	
	1.b.2.viii 40 Ethiopian experts/decision makers who participated in any South-south learning activities (disaggregated by gender) (=FCPF C4.2.b)	6	6	6	
	1.b.3.i At least 75% of community organizations and representatives in pilot sites trained in planning, public speaking, presentation and negotiation skills	0	0	0	
	1.b.3.ii Increase in representation of and contributions by FDP community members on REDD+ bodies and for a	Low	Low	Low	
	1.b.4.i At least 4 meetings of National Consultative Forum (= FCPF 3.2.a)	1	1	1	
	1. b.4.ii At least 12 meetings of Regional Consultative/Awareness raising Fora	0	0	0	
	1. b.4.iii REDD+ meetings at woreda level in Woredas with RCUs	2	2	2	
	1.b.4.iv At least 30% of all participants in	n/a	n/a	n/a	

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
	<p>stakeholder consultation workshops are either women, young people or from FDP</p> <p>1.b.4.v Stakeholder profiles available for all woredas covered by RCUs available 1.b.5.i Issues related to drivers, safeguards, stakeholder engagement, governance, MRV, non-carbon benefits and benefit sharing raised in consultation processes and issue based advocacy campaigns are reported to and discussed by RTWG or RSC</p>	<p>0</p> <p>One discussion in RTWG</p>	<p>0</p> <p>One discussion in RTWG</p>	<p>0</p> <p>One discussion in RTWG</p>	

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> <i>(Please select your light rating)</i>
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
R-PP Component 2 – REDD+ Strategy Preparation					

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>	<p>2.a.1.i Validated studies on Drivers of deforestation and degradation including REDD economics and disaggregated spatial analysis of drivers showing meaningful consultation of stakeholders available on REDD Secretariat website by 4/2015</p> <p>2.a.1.ii 1 national and at least 1 regional WS of consultation and information meetings held with relevant stakeholders with high consensus on findings</p> <p>2.a.1.iii High positive media coverage on the assessment of drivers of D&amp;D</p> <p>2.a.2.i Study report on institutional and legal issues (including tenure rights, carbon rights benefit-sharing mechanisms, coordination and fund management) for Oromia and national REDD programmes available 3/2015</p> <p>2.a.2.ii Action plan to address REDD+ in national regulations and policy reforms in forest, agriculture and energy sectors validated by RSC by 5/2015</p> <p>2.a.3.i In-depth assessment of past experience in PFM, afforestation and reforestation including mapping of potential sites for up-scaling available by 6/2015</p> <p>2.a.3.ii 1 national and at least 1 regional WS of experience sharing on PFM, afforestation and reforestation hold</p>	<p>ToR Tendering finished Oromia Driver study ongoing</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p>	<p>Tendering and Launching</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p>	<p>ToR Tendering finished Oromia Driver study ongoing</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p> <p>n/a</p>	<p> ● Significant progress</p> <p> ● Progressing well, further development required</p> <p> ● Further development required</p> <p> ● Not yet demonstrating progress</p> <p> ● Non Applicable</p> <p><i>Explanation: Almost the activities are in the right track as per the indicative plan.</i></p>

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)	
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target		
<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies</i></p>	O3.1 Final National REDD+ strategy report integrating experiences from Pilot projects on Technical and Governance issues endorsed by 6/2016	TF created and discussing	TF create	TF created and discussing		 Significant progress
	O3.2 R-Package is in line with PC adopted assessment framework (=FCPF O1.A) by 6/2016	n/a	n/a	n/a		 Progressing well, further development required
	2.b.1.i At least 7 published evidence based studies on REDD+ issues at different levels including results of national, regional and local stakeholder consultations available (5 new ones including 1 national, 1 regional, 3 small scale pilot studies)	2 reports (Farm Africa, CRGE)	Identify studies	Studies identified		 Further development required
	2.b.1.ii Synthesis assessment of feasibility of selected strategic options (including social and environmental impact, cost-benefits, legal aspects) available by 7/2015	n/a	n/a	n/a		 Not yet demonstrating progress
	2.b.1.iii Draft REDD+ implementation strategy available by 9/2015	TF created and discussing	TF create	TF created and discussing		 Non Applicable
	2.b.1.iv Reports of 2 national consultative WS for endorsement of priority of options available showing high degree of stakeholder consensus	n/a	n/a	n/a		
	2.b.1.v Synthesis leaflet on drivers and strategic options of REDD+ available by 01/2016	n/a	n/a	n/a		
	2.b.2.i At least 4 evaluation reports of pilot sites on technical REDD+ issues including concerns of key stakeholders consulted at community level published on website	n/a	n/a	n/a		
	2.b.2.ii All relevant technical aspect of REDD+ covered by good practices (PFM, climate smart agriculture, energy options, afforestation-reforestation, etc.)	n/a	n/a	n/a		
	2.b.3.i At least 4 REDD+ governance action research or pilot projects published on website	n/a	n/a	n/a		
	2.b.3.ii At least 10 best practices testing governance issues i.e. cash flow, accounting, benefit sharing, democratic decision making,	n/a	n/a	n/a		
						<b>Explanation:</b> The results reveal encouraging progress towards the planned milestones in this component, progress is in the right track.

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
	GRM and conflict resolution documented				

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>Subcomponent 2c: Implementation Framework</b> <i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i> <i>Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</i>	O4.1 All identified necessary changes of policy and legislation in key REDD+ sectors made	Forest law revision initiated	Forest law revision initiate	Forest law revision initiated	 ● Significant progress
	O4.2 Full architecture of institutional arrangements for REDD+ implementation at national, regional and woreda level in place	R-PP concept	n/a	n/a	 ● Progressing well, further development required
	2.c.1.i No tbd(according to gap analysis) of policy and legislation changes initiated in at least 3 key REDD+ sectors	n/a	n/a	n/a	 ● Further development required
	2.c.1.ii Audit of current structure and amendments for institutional architecture of REDD+ implementation in national system at national and regional levels endorsed by 6/2016	n/a	n/a	n/a	 ● Not yet demonstrating progress
	2.c.1.iii REDD+ implementation manual published on website	n/a	n/a	n/a	 ● Non Applicable
	2.c.2.i Concept, budget, legal provision and inclusive institutional arrangement for Carbon performance based funding via special window at CRGE facility available	n/a	n/a	n/a	 ● Non Applicable
	2.c.2.ii Time needed to channel international REDD+ funds from arrival at national level to local beneficiaries is less than 3 months	n/a	n/a	n/a	<b>Explanation: The planned activities are moving as expected.</b>
	2.c.3.i Existence of a consulted Framework for Carbon benefit sharing arrangements assessed and validated by all Stakeholders	n/a	n/a	n/a	
2.c.4.i Carbon registry for registration of carbon projects and carbon credits on web based registry started by 8/2015	n/a	n/a	n/a		

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
Subcomponent 2d: Social and Environmental Impacts <i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i> <i>Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i>	O5.1 All foreseen measures in SESA implemented according to planning 2.d.1.i SESA validated by all Stakeholders by 4/2015	Strategic workshop held Shortlist established	Strategic workshop Shortlist established	Strategic workshop held Shortlist established	 ● Significant progress
	2.d.1.ii 1085 participants at 1 national, 1 regional, 10 local level consultations, with at least 525 men/275 women/275 youth from CSO and FDP(=FCPF 3.1.b)	n/a	n/a	n/a	 ● Progressing well, further development required
	2.d.2.i ESMF developed, consulted and validated by RSC by 4/2015	n/a	n/a	n/a	 ● Further development required
	2.d.2.ii REDD+ strategy, monitoring and ER-Programs incorporate indicators related to biodiversity conservation, water resources and livelihood of local communities in addition to other co-benefits and safeguards(=FCPF 3.B.)	n/a	n/a	n/a	 ● Not yet demonstrating progress
					 ● Non Applicable
					<i>And explain why: The SESA/ESMF study will be ready in the current year.</i>

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>R-PP Component 3 - Reference Emissions Level/Reference Levels</b>					
<p><i><u>Purpose:</u> Development of the general approach to establish a REL/RL Assessment</i></p> <p><i><u>Criteria:</u> (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i></p>	O6.1 National level for emissions and removals available by 6/2017;	n/a	n/a	n/a	 ● Significant progress
	Oromiya regional REL/ reference levels endorsed by 6/2016	n/a	n/a	n/a	 ● Progressing well, further development required
	O6.2 Report on verification of carbon stocks of Oromiya available 6/2016, national verification report available by 6/2017	n/a	n/a	n/a	 ● Progressing well, further development required
	3.a.1.i National forest definition consulted and adopted by 8/2014	National forest definition proposed	National forest definition propose	National forest definition proposed	 ● Further development required
	3.a.1.ii Actual baseline data on rates and trends of deforestation and degradation of forests Oromiya region report including a basic land-cover/land-use map for REDD+ use available	Oromiya study contracted	Oromiya study contracted	Oromiya study contracted	 ● Not yet demonstrating progress
	3.a.1.iii Updated forest cover map from national forest inventory Actual national baseline data on rates and trends of deforestation and degradation of forests available 11/2015	FAO implementation plan elaborated	FAO implementation plan elaborated	FAO implementation plan elaborated	 ● Non Applicable
	3.a.1.iv Baseline data on carbon stocks in Oromiya based on current NFI available by 10/2015.	n/a	n/a	n/a	<p><i><b>And explain why:</b> The planned activities are in the right progress towards the milestones such as the proposed definition of forest with the first round discussion, the contract out of the REDD+ REL/MRV to FAO.</i></p>
	Baseline data on carbon stocks at national and other regional levels based on current NFI available by 6/2017	n/a	n/a	n/a	
	3.a.2.i No of persons trained in RL/RELS at different layers by gender (at least 30% women) and category of stakeholder	n/a	n/a	n/a	
	3.a.2.ii Reference scenarios and emissions modeling based on IPCC (at least Tier 1 standards) published by 12/2015 for Oromiya and 6/2017 for national level.	n/a	n/a	n/a	
3.a.2.iii High acceptance of proposed RLs/RELS by 90% stakeholders in RSC/RTWG	n/a	n/a	n/a		

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
<b>R-PP Component 4 – Design a Monitoring System</b>					
Subcomponent 4a: National Forest Monitoring System <i>Purpose: Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</i> <i>Assessment Criteria: (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</i>	O7.1 MRV System complies to international standards	n/a	n/a	n/a	 ● Significant progress
	O7.2 Data verification reveals no major discrepancies in range of +/- 20% from original data	n/a	n/a	n/a	 ● Progressing well, further development required
	4.a.1.i No of Guidebooks published by 6/2016	n/a	n/a	n/a	 ● Further development required
	20 Trainers on MRV, Forest and Carbon monitoring available	n/a	n/a	n/a	 ● Not yet demonstrating progress
	At least 3 communication material available	n/a	n/a	n/a	 ● Non Applicable
	4.a.1.ii At least 4 pilot sites where MRV approaches for REDD+ have been tested	n/a	n/a	n/a	 ● Not yet demonstrating progress
	4.a.1.iii National Carbon forest/land-use monitoring unit fully staffed, trained and Data management system 100% operational by 06/2016	n/a	n/a	n/a	 ● Non Applicable
	4.a.1.iv Participation of CBO members in international trainings on MRV (20 male/20 female) (FCPF 3.1.b)	n/a	n/a	n/a	 ● Non Applicable
4.a.1.v Forest and land use monitoring web-portal to display REDD+ information operational by 6/2016	n/a	n/a	n/a	 ● Non Applicable	
					<i>And explain why: This work is contracted out to FAO, Project document prepared, work plan developed ahead of time.</i>

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards <i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i> <i>Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i>	4.b.1.i Ethiopia comes up with its own REDD+ incremental multiple benefit standards by 11/2015	n/a	n/a	n/a	 ● Significant progress
	4.b.1.ii Ethiopia specific SES standards, and monitoring template agreed by 12/2015	n/a	n/a	n/a	 ● Progressing well, further development required
	4.b.1.iii Ethiopia specific REDD+ implementation M&E Manual including guidelines for REDD+ multiple benefits agreed among stakeholders available	n/a	n/a	n/a	 ● Further development required
	4.b.1.iv MoU on Multi-Stakeholder mechanism for collection and dissemination of information among stakeholders available	n/a	n/a	n/a	 ● Not yet demonstrating progress
	4.b.1.v No by category of stakeholders and gender of REDD+ M&E trained staff in all participating stakeholders at least 30% females.	n/a	n/a	n/a	 ● Non Applicable
					 <i>And explain why: No planned activities, but just beginning this Fiscal Year</i>

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
R-PP Component 6 – Design a Program Monitoring and evaluation framework					

Sub-component	Overall progress		Progress against annual targets		Tracking <sup>6</sup> (Please select your light rating)
	Planned Milestones	Cumulative Progress towards Milestones	Expected Annual Target	Achievements of the Annual Target	
	6.a.1.i PMF available by 7/2014 6.a.1.ii 20 National quarterly reports submitted on reporting deadlines 6.a.1.iii 3 Annual reports and 4 biannual update submitted on reporting deadlines 6.a.1.iv 100% of draft annual reports reviewed and commented by relevant stakeholder groups 6.a.1.v. 100% achievement of planned project milestones (yellow or green traffic lights) according to approved Readiness Preparation grant (FCPF M&E Framework 1.3.b.) 6.a.2.i Consulted Mid-term progress review (FCPF M&E Framework 1.3.a.) available 1/2015 6.a.2.ii Independent final review of R-Package (FCPF M&E Framework 1.A.2.) by 8/2016	Draft PMF 8 2 biannual 1 annual 100% RTWG n/a n/a n/a	PMF 4 2 biannual 1 annual 100% RTWG n/a n/a n/a	Draft PMF 4 2 biannual 1 annual 100% RTWG n/a n/a n/a	<ul style="list-style-type: none"> <li> ● Significant progress</li> <li> ● Progressing well, further development required</li> <li> ● Further development required</li> <li> ● Not yet demonstrating progress</li> <li> ● Non Applicable</li> </ul> <p><i>Explanation: M&amp;E, PMF and other tools developed for the R-PP implementation. The progress in this component assists other milestones to measure the track changes using the PMF and also the reporting taking place within the timeframe for decision making and checking the update of the project.</i></p>



Disbursement rate of FCPF-financed Readiness Fund Grant (>3.2 million USD), in percentage (FCPF M&E Framework 1.3.d; Ethiopia PMF Indicator 5.a.1.iii):		
	Rate	Tracking
RF Grant - disbursement rate vs. planned disbursements (18.28%) <b>NB: this is calculated using the disbursement made divided by total FCPF grant.</b>		<p><i>Please select your light rating:</i></p> <p> <input type="radio"/> Up to 10% variance with plans</p> <p> <input type="radio"/> Between 10 and 25% variance</p> <p> <input type="radio"/> Between 25 and 40 % variance</p> <p> <input type="radio"/> More than 40 % variance</p> <p> <input type="radio"/> Non Applicable</p>
Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d; Ethiopia PMF Indicator 5.a.1.iv):		
	Rate	Tracking
R-PP Budget - disbursement rate vs. planned disbursements (13.66%); <b>NB: this is calculated using the disbursement made divided by total Readiness grant (FCPF+ additional Fund).</b>		<p><i>Please select your light rating:</i></p> <p> <input type="radio"/> Up to 10% variance with plans</p> <p> <input type="radio"/> Between 10 and 25% variance</p> <p> <input type="radio"/> Between 25 and 40 % variance</p> <p> <input type="radio"/> More than 40 % variance</p> <p> <input type="radio"/> Non Applicable</p>
Implementation rate of M&E activities (FCPF M&E Framework 1.3.c, 1.3.a, 1.A.2; Ethiopia PMF Indicators 6.a.1.iii, 6.a.2.i, 6.a.2.ii)		
	Rate	Tracking
Semi-annual reporting	<b>twice</b>	<p><i>Please select your light rating:</i></p> <p> <input type="radio"/> Up to 10% variance with plans</p> <p> <input type="radio"/> Between 10 and 25% variance</p> <p> <input type="radio"/> Between 25 and 40 % variance</p> <p> <input type="radio"/> More than 40 % variance</p> <p> <input type="radio"/> Non Applicable</p>
Mid-term progress report		
Final Assessment report		

### 3.2.2. Key elements of performance-based payment systems for emission reductions generated from REDD+ activities

- Outcome level

As a synthesis of the following output level assessments, please briefly specify:	
<p>Are carbon accounting, programmatic elements and pricing operating as planned in your pilot, if relevant (<i>FCPF M&amp;E Framework Indicator 2.A.; Ethiopia PFM indicators O6.1/2, 2.c.2.i/ii</i>)</p> <p><b><u>Please describe progress made:</u></b></p>	<p><input checked="" type="radio"/>  Yes</p> <p><input type="radio"/>  Overall yes, but with some discrepancies</p> <p><input type="radio"/>  Overall no, but with some positive signs</p> <p><input type="radio"/>  No</p> <p><input type="radio"/>  Non Applicable</p>
<p>Is the benefit sharing scheme being implemented according to plans within your pilot, if relevant (<i>FCPF M&amp;E Framework Indicator 2.B., Ethiopia PFM indicator 2.c.3.i</i>)</p> <p><b><u>Please describe progress made:</u></b></p>	<p><input checked="" type="radio"/>  Yes</p> <p><input type="radio"/>  Overall yes, but with some discrepancies</p> <p><input type="radio"/>  Overall no, but with some positive signs</p> <p><input type="radio"/>  No</p> <p><input type="radio"/>  Non Applicable</p>
<p>Percentage and/or amount of monetary benefits shared with beneficiaries in approved pilot, if relevant (<i>FCPF M&amp;E Framework Indicator 2.C.; Ethiopia PFM indicator I4</i>)</p> <p><b><u>Please describe progress made:</u></b></p>	<p><input checked="" type="radio"/>  Percentage and/or amount targets to be defined</p> <p><input type="radio"/> </p> <p><input type="radio"/> </p> <p><input type="radio"/> </p> <p><input type="radio"/>  Non Applicable</p>

- Output level

Has your country submitted early ideas or ER-Program to the CF and/or others (FCPF M&E Framework 2.3.a; Ethiopia PFM indicator I3.1):	
Yes/No	<p><u>Please briefly describe the content of these early ideas or ER-Program:</u></p> <p>The Government of Ethiopia (GoE) has determined that the Regional State of Oromia should develop an REDD+ Program focused on reducing the deforestation of its Afromontane and woodland forests. Under this initiative a total of 1.7 million ha of Afromontane forests and woodland under the Concessions of Oromia Forest and Wildlife Enterprise (public enterprise) will be targeted to reduce global carbon emissions and attract revenues to foster sustainable growth and improved livelihoods of diverse rural communities. Indicative calculations suggest that this Jurisdictional ER program will generate up to 40 million tons of CO<sub>2</sub>e until 2020; and up to 260 million tons of CO<sub>2</sub>e until 2035.</p> <p>The Oromia Regional State comprises 60% of Ethiopia's high forests and the vast majority of its woodlands. The State has been engaged with REDD+ activities since 2007 when the Bale REDD+ Project was started. The Bale project will complete its PDD in 2013 and this process has generated many lessons and significant local capacity which will support this program. As such the GoE has determined that Oromia is the most appropriate jurisdiction to host this REDD+ Program.</p> <p>The Oromia REDD+ Pilot is now in design phase for 18 months. Four technical studies on drivers of deforestation and forest degradation. REL/MRV design, institutional legal framework studies, and consultation and participation plan and early implementation.</p> <p>Other REDD+ pilots are also initiated such as the Kaffa NTFP project. REDD+ Secretariat has also hired a consultant for identification and development of REDD+ pilots in three regional states.</p>

Has your country signed an ERPA (FCPF M&E Framework 2.4.b; Ethiopia PFM indicator I3.3):	
Yes/No	<p><u>Please briefly describe the content of this ERPA:</u></p> <p>n/a</p>

Amount and date of disbursements for ER Program according to plans, if relevant (FCPF M&E Framework 2.4.a; Ethiopia PFM indicator 5.a.1.v):	
<u>Date:</u>	<u>Amount provided:</u> n/a
<u>Date:</u>	<u>Amount provided:</u> n/a
<u>Date:</u>	<u>Amount provided:</u> n/a

### 3.2.3. Engagement of stakeholders to sustain or enhance livelihoods of local communities and to conserve biodiversity within the approach to REDD +

- Outcome level

As a synthesis of the following output level assessments, please describe indicators related to biodiversity conservation and forest community livelihood development included in the ER Program, if relevant ( <i>FCPF M&amp;E Framework Indicator 3.A; Ethiopia PFM Indicators 4.b.1.ii and iii</i> ):	
<u>Amount:</u>	<p><u>Please describe how these funds target biodiversity and forest community livelihood development:</u></p> <p>As described in the Results Chain, the implementation of REDD+ program in Ethiopia has four long term impacts and monitoring will assess these eventually: biodiversity conservation, water resources conserved, livelihoods improvement, and GHG emission reduction.</p>

Please provide relevant examples on the inherent social and biodiversity benefits of REDD+, if relevant ( <i>FCPF M&amp;E Framework Indicator 3.B; Ethiopia PFM Indicators 4.b.1.i and 2.d.2.ii</i> ):	
<u>Examples of inherent social and biodiversity benefits of REDD+:</u>	

- Output level

Number of examples of actions where IPs, CSOs, and local communities participate actively, if relevant ( <i>FCPF M&amp;E Framework 3.1.a; Ethiopia indicator O2.2</i> ):	
<i>#: Around 1082 participants involved in various levels.</i>	<p><u>Please describe these actions on enhanced livelihoods and BD conservation, and restoration where, IPs and CSOs and local communities participate actively:</u></p>

Number of FDP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs ( <i>FCPF M&amp;E Framework 3.1.b; Ethiopia PFM indicator 4.a.1.iv</i> ):			
<u>Please list the training conducted:</u>	<u>Duration (# of days)</u>	<u># of participants # of men / # of women</u>	<p>✔ ● targets in terms of number of men and women to be trained by country to be defined</p>

			 ●  ●  ●  ● Non Applicable
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Frequency of meetings of stakeholder engagement platforms (FCPF M&E Framework 3.2.a; Ethiopia indicator 1.b.4.i/ii):	
<b>Frequency:</b> One national level consultation meeting	 ● targets in terms of frequency to be defined  ●  ●  ●  ● Non Applicable

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at maintaining or enhancing livelihoods of local communities (FCPF M&E Framework 3.2.b.i):	
<b>Yes/No:</b>	<u>Please describe these activities aiming at maintaining or enhancing livelihoods of local communities:</u> To be defined yet.

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at conserving biodiversity (FCPF M&E Framework 3.2.b.ii; Ethiopia PMF indicator 2.d.2.ii):	
<b>Yes/No:</b>	<u>Please describe these activities aiming at conserving biodiversity:</u> To be defined yet.

Does your country R-Package and/or ER Program include SESA, an operational Grievance Mechanisms, and an ESMF that captures SESA results (FCPF M&E Framework 3.2.d; Ethiopia PMF indicators 2.d.1.i + 1.b.1.vi + 2.d.2.i)	
<b>Yes/No:</b>	<u>If yes, please select your light rating:</u>  ● Degree of advancement or implementation targets to be defined  ●

	 <p>● Non Applicable</p> <p><u>Please describe the measures implemented:</u></p>
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### 3.2.4. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support FCPF: (FCPF M&E Framework 4.1.b; Ethiopia PMF indicators 1.b.1.i/ii)	
<u>Yes/No:</u>	<u>Please provide the list of published REDD+ knowledge products, if any during reporting period :</u>
<b>Yes</b>	<p><b>Knowledge product 1: Booklet:</b></p> <p>2000 copies of booklet about REDD+ distributed; many copies of brochures distributed, various minutes and other documents published on website and distributed.</p>

How many people have been reached by these knowledge products, if any (FCPF M&E Framework 4.1.c; Ethiopia PMF 1.b.1.iii + count of participants in different workshops/events):	
<u>Overall number by product:</u> Around 23,000 communities reached by those products. Not defined based on gender so far.	
<u># of Men:</u>	
<u># of Women:</u>	

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)? (FCPF M&E Framework 4.2.a + b; Ethiopia PMF indicator 1.b.2.ix)		
<u>Yes/No:</u>	<u>List the South-South learning activities:</u>	<u># of men:</u> 12
<b>Yes</b>	<ul style="list-style-type: none"> <li>• COFO Meeting Italy (3)</li> <li>• Oslo REDD Exchange 2013 (2)</li> <li>• Planning for REDD+: Incorporating multiple benefits and safeguards, 17th-19th September 2013, Kenya (2)</li> <li>• Un-REDD/FCPF's: South-South</li> </ul>	<u># of women:</u> 2

	<p>Knowledge Exchange on national REDD+ fund architectures. Oslo, 28 October 2013 (2)</p> <ul style="list-style-type: none"> <li>• FCPF UNREDD, December, Indonesia (3)</li> <li>• UNREDD meeting , Kenya (2)</li> </ul>	
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#### 4. ISSUES, CHALLENGES AND RISKS

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The lack of a well-designed M&E framework has partly affected the planning and implementation of the REDD+ readiness. We have now developed the REDD+ Readiness M & E framework and it will be used as Management Tool internally as well as for Reporting to FCPF. Delay of procurement, and as a result low disbursement rate was a key issue so far, but gradually improving. A large amount of fund shall be spent by GoE and FAO this fiscal year.

The ministry is attempting to expedite the implementation of the R-PP and improving the current low level of disbursement with high priority. Overall, the REDD+ Secretariat finds itself in better situation than it was before for speeding up the implementation of the R-PP and other REDD+ programs.

#### 5. MAIN LESSONS LEARNED

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What we have learned so far from our coordination activities is that REDD+ readiness is a complex, requiring multi-sectoral coordination, and technically new process. The stakeholders span from global to local levels. This often entails taking longer time to kick off the process. Thus, the timeframe for readiness of countries need to be flexible. Developing the M&E framework for REDD+ readiness has given us a clearer vision, enabling us to understand broader context of readiness easily and plan effectively and implement readiness activities with clearly defined goals and timeframe. M&E framework is also a very good management and monitoring tool. The other thing we learned is that REDD+ is appealing and raises expectations among policy makers, experts and the local communities. This needs to be managed through effective and plain communication with the wide range of stakeholders, particularly when dealing with forest dependent and local communities.